

SWANSEA BAY UNIVERSITY HEALTH BOARD

INTERNAL AUDIT REGISTER 2019/20

Audit Assurance Rating Key			
Substantial Assurance	Some Assurance	No Assurance	
Reasonable Assurance	No Assurance		

Progress Monitoring of Approved Plan			Completion of Work			Draft Report			Management Responses			Final Report			Audit				
5	HTA - Mortuary <i>Interim report issued</i>	SBU-1920-005	Chief Operating Officer	Q1	09/04/2019	1		23/04/2019	15/04/2019	1		06/05/2019	09/05/2019	1	23/05/2019	21/05/2019	1	15-Jul-19	
13	GP Out of Hours Services: Quality Standards Reporting	SBU-1920-012	Chief Operating Officer	Q2	13/09/2019	1		27/09/2019	30/09/2019	1		21/10/2019	17/10/2019	1		31/10/2019	21/10/2019	1	21-Nov-19
16	Welsh Risk Pool Claims	SBU-1920-015	Director of Nursing & Director of Finance	Q3	30/08/2019	1		13/09/2019	30/08/2019	1		20/09/2019	20/09/2019	1		04/10/2019	26/09/2019	1	21-Sep-19
18	Annual Quality Statement	SBU-1920-017	Director of Nursing & Patient Experience	Q1	16/05/2019	1		30/05/2019	17/05/2019	1		31/05/2019	07/06/2019	1		21/06/2019	10/06/2019	1	15-Jul-19
20	Infection Prevention & Control	SBU-1920-019	Director of Nursing & Patient Experience	Q2	28/06/2019	1		12/07/2019	28/06/2019	1		19/07/2019	18/07/2019	1		01/08/2019	18/07/2019	1	19-Sep-19
21	Prevention & Management of Inpatient Falls	SBU-1920-020	Director of Nursing & Patient Experience	Q2	08/08/2019	1		22/08/2019	08/08/2019	1		02/09/2019	04/09/2019	1		18/09/2019	04/09/2019	1	19-Sep-19
22	WHO Checklist	SBU-1920-021	Medical Director	Q2	04/06/2019	1		18/06/2019	11/06/2019	1		14/07/2019	14/07/2019	1		28/07/2019	23/07/2019	1	19-Sep-19
24	DoLS Follow Up	SBU-1920-023	Director of Nursing & Patient Experience	Q3	30/08/2019	1		13/09/2019	04/09/2019	1		25/09/2019	03/10/2019	1		17/10/2019	07/10/2019	1	21-Nov-19
25	Medicines Management (Incl CDs & incidents)	SBU-1920-024	Medical Director	Q1	31/05/2019	1		14/06/2019	31/05/2019	1		29/06/2019	21/06/2019	1		05/07/2019	25/06/2019	1	15-Jul-19
35	Unit Governance: Mental Health & Learning Disabilities Unit	SBU-1920-034	Chief Operating Officer	Q2	28/06/2019	1		12/07/2019	10/07/2019	1		31/07/2019	26/07/2019	1		09/08/2019	01/08/2019	1	19-Sep-19
36	Morrison Hospital Cardiac Services	SBU-1920-035	Chief Operating Officer	Q2	19/07/2019	1		02/08/2019	31/07/2019	1		21/08/2019	21/08/2019	1		04/09/2019	22/08/2019	1	19-Sep-19
38	Hospital Sterilization & Disinfectant Unit (H.S.D.U)	SBU-1920-037	Chief Operating Officer	Q2	01/08/2019	1		15/08/2019	05/08/2019	1		27/08/2019	21/08/2019	1		04/09/2019	03/09/2019	1	19-Sep-19
39	Patient Environment	SBU-1920-038	Chief Operating Officer	Q2	11/07/2019	1		25/07/2019	19/07/2019	1		09/08/2019	01/10/2019	1		15/10/2019	02/10/2019	1	21-Nov-19
42	Nurse Staffing Levels (Wales) Act	SBU-1920-041	Director of Nursing & Patient Experience	Q2	28/06/2019	1		12/07/2019	28/06/2019	1		19/07/2019	31/07/2019	1		14/08/2019	01/08/2019	1	19-Sep-19
46	Estates Assurance: Safe Water Management <i>c/f 18/19</i>	ABM 18-19 09	Director of Strategy	Q3	10/01/2019	1		24/01/2019	24/01/2019	1		14/02/2019	23/05/2019	1		06/06/2019	24/05/2019	1	18-Jul-19
47	Informatiocs Modernisation Programme -IM&T:Installation of Wireless Network Infrastructure <i>c/f 18/19</i>	ABM 18-19 06	Chief Operating Officer	Q4	09/05/2019		1	23/05/2019	09/05/2019	1		30/05/2019	18/06/2019	1		02/07/2019	02/07/2019	1	15-Jul-19
48	Capital Projects: Environmental/Infrastructure Modernisation Programme <i>c/f 18/19</i>	ABM 18-19 05	Director of Strategy	Q3	16/01/2019		1	30/01/2019	23/01/2019	1		13/02/2019	05/06/2019	1		19/06/2019	27/06/2019	1	15-Jul-19
52	Environmental Sustainability Report	ABM 19-20 10	Chief Operating Officer	Q2	05/07/2019	1		19/07/2019	16/07/2019	1		06/08/2019	04/10/2019	1		18/10/2019	04/10/2019	1	21-Nov-19
53	Carbon Reduction Commitment	ABM 19-20 11	Chief Operating Officer	Q2	05/07/2019	1		19/07/2019	16/07/2019	1		06/08/2019	04/10/2019	1		18/10/2019	04/10/2019	1	21-Nov-19