



WALES AUDIT OFFICE  
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru  
Auditor General for Wales

# Audit Committee Update – Swansea Bay University Health Board

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[info.officer@audit.wales](mailto:info.officer@audit.wales).

We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

The team providing this Audit Committee update comprised Carol Moseley and Jason Blewitt.

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## About this document

- 1 This document provides the Audit Committee with an update on current and planned Wales Audit Office work as set out in our Audit Plans. Financial and performance audit work is considered, and information is also provided on the Auditor General's programme of national value-for-money examinations and the work of our Good Practice Exchange (GPX).

## Audit plan

- 2 **Exhibit 1** summarises details of the finalisation of our 2019 audit plan.

### Exhibit 1: audit plans

Area of work	Current status
Audit Plan 2019	Finalised – presented to Audit Committee March 2019.

## Financial audit update

- 3 Our key financial audit work to be reported during 2019-20 is set out in **Exhibit 2**.

### Exhibit 2: financial audit reports

Area of work	Current status
Accounts Audit – Audit of Financial Statements Report 2018-19	To be presented to Audit Committee 29 May 2019 and Board 30 May 2019.
Charitable Funds – Audit of Financial Statements Report 2018-19	To be presented to Charitable Funds Committee November 2019.
Annual Audit Report 2019	To be presented to Audit Committee and Board January 2020.

## Performance audit update

- 4 The following tables set out the performance audit work included in our 2018 and 2019 plans for the Health Board, summarising completed work (**Exhibit 3**), work that is currently underway (**Exhibit 4**) and planned work not yet started (**Exhibit 5**).

### Exhibit 3: work completed

Area of work	Status
Structured Assessment (2018)	Presented to Audit Committee January 2019.

Exhibit 4: work currently in progress

Topic and executive lead	Focus of the work	Current status	Audit Committee consideration
Clinical Coding: Follow up (2018). Lead: Matt John / Pam Wenger	Examining the progress made since 2014.	Report finalised and presented to the May 2019 Audit Committee along with the Management Response.	May 2019.
Local audit (2018). Lead: Richard Evans	Follow-up review: consultant contract <sup>1</sup>	Terms of reference being prepared.	TBC – July / September 2019.
Wellbeing of Future Generations: WFG (2019) Lead: Sian Harrop-Griffiths	Considers the arrangements which support WFG and sustainable development and how the 5 ways of working have been applied to a 'step' towards a selected wellbeing objective.	Terms of reference issued. Arranging set-up with officers.	TBC – September 2019
Orthopaedic Services: Follow up (2018). Lead: Chris White	To examine the progress made since our 2015 audit.	Terms of reference issued 28 February 2019. All Wales data collection in progress ahead of local fieldwork.	TBC – November 2019.

**Integrated Care Fund**

- 5 As part of our 2017 Audit Plan, we have carried out a cross-sector thematic review of the Integrated Care Fund (ICF). The work examined ICF arrangements at national and regional levels across public sector bodies.
- 6 Local findings were presented to the Swansea Bay Regional Partnership Board 30 October 2018, and key messages summarised in our 2018 Annual Audit Report for Abertawe Bro Morgannwg University Health Board.
- 7 We are currently preparing a national report of our ICF findings. Once published, we will look to present the final report and a summary of the regional findings to the Audit Committee, at its July 2019 meeting.

<sup>1</sup> The follow-up of consultant contract substitutes a local waiting times follow-up audit.

## Exhibit 5: planned work not yet started

Topic and executive lead	Focus of the work	Current status	Consideration by Audit Committee
Structured Assessment (2019) Lead: Tracy Myhill / Pam Wenger	This work will continue to assess the arrangements which support good governance and effective use of resources; and the progress being made in addressing previous year's recommendations.	Scoping. Fieldwork due to start July 2019.	January 2020
Quality governance (2019) Lead: tbc	As an extension to our structured assessment, this work will consider the structures, information and assurance flows that support quality governance.	Scoping (in collaboration with HIW). Fieldwork expected to start November 2019.	TBC: March 2020
Local audit (2019) Lead: tbc	The focus of this work will be informed by consideration of post Bridgend transition risks.	Not yet started.	TBC.

## Good practice

- 8 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research.
- 9 Our [Good Practice Exchange \(GPX\)](#) team also facilitates a programme of shared learning events.
  - Recent events include: a [lets talk cyber security webinar](#), 26 March 2019; and a seminar on [working in partnership to combat fraud](#), 7 May 2019.
  - Future events include two webinars on [key issues for Regional Partnership Boards](#), to be held 13 and 20 June 2019. These events will follow the publication of our review of the Integrated Care Fund and will consider fund implementation and ways of working.

## NHS-related national studies

- 10 The Audit Committee may also be interested in the programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded by the National Assembly and are presented to the National Assembly's Public Accounts Committee to support its scrutiny of public expenditure.
- 11 **Exhibit 6** provides information on the NHS-related or relevant national studies published in the last 12 months. It also includes all-Wales summaries of work undertaken locally in the NHS.
- 12 Since the last meeting of the Audit Committee, we have published a checklist for Board Members to help in seeking assurance that hospital discharge arrangements are safe and timely.

### Exhibit 6: NHS-related national studies and all-Wales summary reports

Title	Publication date
<a href="#"><u>What's the hold up? Discharging patients in Wales</u></a> A Board Member checklist	22 March 2019
<a href="#"><u>Preparations in Wales for a 'no deal' Brexit</u></a>	19 February 2019
<a href="#"><u>Expenditure on agency staff by NHS Wales</u></a>	22 January 2018.
<a href="#"><u>Radiology Services in Wales</u></a>	08 November 2018.
<a href="#"><u>Management of follow up outpatient appointments across Wales</u></a>	31 October 2018.
<a href="#"><u>National Fraud Initiative in Wales</u></a>	23 October 2018.
<a href="#"><u>Primary Care Out-of-Hours Services</u></a>	12 July 2018.
<a href="#"><u>Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities</u></a>	24 May 2018.
<a href="#"><u>Reflecting on Year One – how have public bodies responded to the Well-being of Future Generations (Wales) Act 2015</u></a>	10 May 2018.

- 13 In addition, the Auditor General published his [Annual Plan for 2019-20](#) on 2 April 2019. The plan describes the ambitions of the Auditor General and Wales Audit Office Board and sets out our programmes of work and key commitments for the coming year.

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