

Audit Committee Update – Swansea Bay University Health Board

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Audit Committee Update

About this document

- 1 This document provides the Audit Committee with an update on current and planned Audit Wales work. Accounts and performance audit work are considered, and information is also provided on the Auditor General's wider programme of national value for money examinations and the work of our Good Practice Exchange (GPX).

Accounts audit update

- 2 **Exhibit 1** summarises the status of our key accounts audit work to be reported during 2021-22.

Exhibit 1 – Account's audit work

Area of work	Current status
Audit of the 2021-22 Accountability Report and Financial Statements	Audit work complete. Completed June 2022.
Audit of the 2021-22 Charitable Funds Accounts	Audit work ongoing. Completion by December 2022.

Performance audit update

- 3 The following tables set out the performance audit work included in our current and previous Audit Plans, summarising:
 - work that is currently underway (**Exhibit 2**); and
 - planned work not yet started or revised (**Exhibit 3**).

Exhibit 2 – Work currently underway

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
<p>Orthopaedic services – follow up</p> <p>Executive Lead – Chief Operating Officer</p>	<p>This review will examine the progress made in response to our 2015 recommendations. The findings from this work will inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to tackle the significant elective backlog challenges. Our findings will be summarised into a single national report with supplementary outputs setting out the local position for each health board.</p>	<p>Current status: Date of publication realigned with anticipated publication date of national planned care work</p> <p>Planned date for consideration: tbc</p>
<p>Review of the commissioning and contracting arrangements post Bridgend boundary change</p> <p>Executive Lead – Director of Strategy/Director of Finance</p>	<p>This work will examine the robustness of the arrangements for overseeing and managing the contractual agreements established following the Bridgend service transition in 2019. The work will also consider the programme for service disaggregation (for relevant services), and whether the arrangements support future regional service models currently being explored by the organisations.</p>	<p>Report due to go out for clearance</p> <p>Planned date for consideration: tbc</p>
<p>Review of Unscheduled Care</p>	<p>This work has been carried forward from the 2020 and 2021 audit plan and will examine different aspects of the unscheduled care system. More detailed work will focus on specific aspects of the unscheduled care system including patient flow</p>	<p>Whole system commentary and data tool published on 21 April</p>

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
Executive Lead – Chief Operating Officer	and discharge, and access to services.	Briefing Note issued. Planned date for consideration tbc
Structured Assessment Executive Lead – Director of Corporate Governance	This work will continue to form the basis of the work we do to examine the existence of proper arrangements for the efficient, effective, and economical use of resources. The 2022 work will review the corporate arrangements in place at the Health Board in relation to: <ul style="list-style-type: none"> • Governance and leadership; • Financial management; • Strategic planning; and Use of resources (such as digital resources, estates, and other physical assets).	Project brief issued in May. Fieldwork underway and interviews scheduled Date for consideration November 2022

Exhibit 3 – Planned work not yet started or revised

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
Review of Service Group Governance Arrangements	The precise focus of this work is still to be determined but will look to review the effectiveness of the arrangements for governance at a service group level. This work will	Date for consideration to be confirmed

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
Executive Lead – Director of Corporate Governance	follow on from internal work currently being undertaken by the Health Board to strengthen governance arrangements within service groups.	
Workforce Planning Executive Lead – Director of Workforce and OD	This review will assess the workforce risks that NHS bodies are experiencing currently and are likely to experience in the future. It will examine how local and national workforce planning activities are being taken forward to manage those risks and address short-, medium- and longer-term workforce needs.	Review currently being scoped Date for consideration to be confirmed
Local Work 2022	The precise focus of this work is still to be determined	TBC

Good Practice events and products

- 4 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research.
- 5 Details of future events are available on the [GPX website](#). Events include sharing a range of perspectives on the impact the pandemic has had on public services in Wales two years on from the start of the pandemic.

NHS-related national studies and related products

- 6 The Audit Committee may also be interested in the Auditor General's wider programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded through the Welsh Consolidated Fund and are presented to the Public Accounts Committee to support its scrutiny of public expenditure.
- 7 The Audit Committee might also wish to be sighted of the recently published Audit Wales strategy, **Assure, Explain, Inspire: Our Strategy 2022-27**. This strategy sets out our 5-year vision to drive improvement and support Welsh public Services as they adapt to the challenges and opportunities of a changing world.
- 8 **Exhibit 4** provides information on the NHS-related or relevant national studies published since our last Committee Update. It also includes all-Wales summaries of work undertaken locally in the NHS.

Exhibit 4 – NHS-related or relevant studies and all-Wales summary reports

Title	Publication Date
<u>Public Sector Readiness for Net Zero Carbon by 2030</u>	July 2022
<u>The Welsh Community Care Information System update</u>	July 2022
<u>Assure, Explain, Inspire: Our Strategy 2022-27</u>	June 2022
<u>Tackling the Planned Care Backlog in Wales</u>	May 2022
<u>Unscheduled Care in Wales</u>	April 2022



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