

Audit Committee Log (ACTIONS)

16.09.2025	96/25	Risk Management	To provide a note detailing the current stage of the Risk Management process and next steps, to help address difficulties in marrying information and to enable deeper analysis of issues. To include providing clarity on processes, time scales, and ensuring that Financial and Staffing risks were considered together in operational risk reporting	Action	Logged Action	Director of Corporate Governance (HL)	May-26	The risk report to the November 2025 sets out the current position in respect of resetting health board risk management arrangements, in particular the establishment of the new strategic and corporate risk registers, and the process to be followed for maintaining & using them at Committee level. It also sets out arrangements for the escalation, review and acceptance of local operational risks to the Corporate risk register, and indicates further work to be undertaken to develop the safety net provided by the RMG and supported via training. Update to be provided at May Committee.	Underway (with update)
20.11.2025	122/25	Internal Audit: Progress report	HL was tasked to discuss with Len Cozens the possibility of preparing a report or update for the Committee, summarising lessons learned from audit work, identifying types of recommendations or service groups where timeliness was a challenge, and potentially combining this with Len's regular tracker update paper.	Action	Logged Action	Director of Corporate Governance (HL)	May-26	In progress. Internal Audit have provided access to their system/data to extract the learning for SBUHB. Training will take place for members of the Compliance Team to do this.	Underway (with update)
12.03.2026	26/26	Progress reports	Internal Audit and Compliance (Len Cozens) to provide a follow-up at the May Audit Committee demonstrating progress against KPIs, evidence that the revised protocol is embedding, and an update on tracker performance including the number of recommendations closed.	Action		Director of Corporate Governance (HL)	Jul-26	Summary position provided to the May 2026 meeting. Full reporting to recommencing in July 2026, in line with the Audit Committee work programme.	Underway (with update)
12.03.2026	32/26	NHS Wales Shared Services Partnership: Procurement Single Tender Actions and Quotations	Procurement (Kier Warner) to bring forward a clear action plan to the next Audit Committee meeting, setting out strengthened controls for retrospective actions, including improvements to training, escalation processes, oversight mechanisms and any additional measures required, in order to support the Committee in holding itself and the	Action		Executive Director of Finance and Performance (DG)	Jul-26	To be included in the next iteration of the report due to committee July 2026	Underway (with update)
12.03.2026	28/26	Quality Governance	Refer the item to Clinical & Unscheduled Care Nursing Sub-Committee (CUNS) for information.	Action		Executive Director of Nursing and Patient Experience (LR)	May-26	Update required.	Open
12.03.2026	26/26	Controlled Drugs (Reasonable Assurance)	Hazel Lloyd to obtain an update on the resolution of the controlled drugs cabinet issues identified in Morriston and provide a closing-the-loop assurance update to Independent Members outside the meeting.	Action		Director of Corporate Governance (HL)	Mar-26	The damaged AMAU cabinet has been decommissioned and removed, with all controlled drugs streamlined into the one remaining cabinet.	Closed