

## Exceptional Activity in Accordance with Standing Financial Instruction

Division/Department/DU	SFI - Competition Threshold	Capital/Revenue
MH & LD	Local Quotation Threshold over £5,000.00	Revenue
Corporate Services	Local Quotation Threshold over £5,000.00	Revenue
Digital Services	Local Quotation Threshold over £5,000.00	Revenue
Morrison Volunteers	Local Quotation Threshold over £5,000.00	Charitable Funds
Estates	Local Quotation Threshold over £5,000.00	Revenue
Finance	Local Quotation Threshold over £5,000.00	Revenue
Paediatrics Morrison	Local Tender Threshold over £25,000.00	Revenue
Paediatrics NPTH	Local Tender Threshold over £25,000.00	Revenue
Forensic Division MH & LD	Local Tender Threshold over £25,000.00	Revenue
Finance	Local Tender Threshold over £25,000.00	Revenue
Workforce	Local Tender Threshold over £25,000.00	Revenue

Cancer Services	Local Tender Threshold over £25,000.00	Revenue
	Local Tender Threshold over £25,000.00	Revenue
Transformation	Local Tender Threshold over £25,000.00	Revenue
Capital Planning	OJEU - £138,760.00	Revenue

**Further Matters to be Brought to the Attention of the Audit Committee (**

<b>Division/Department/DU</b>	<b>SFI - Competition Threshold</b>	<b>Capital/Revenue</b>
DoTHS	Local Quotation Threshold over £5,000.00	Revenue
Singleton HSDU	Local Quotation Threshold over £5,000.00	Revenue
Estates	Local Quotation Threshold over £5,000.00	Revenue
Singleton Estates	Local Quotation Threshold over £5,000.00	Revenue

**Change Control Notices (CCN's)**

<b>Division/Department/DU</b>	<b>SFI - Competition Threshold</b>	<b>Capital/Revenue</b>
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Nuclear Medicine	OJEU - £138,760.00	Revenue - WG Monies
Pathology Services	Local Tender Threshold over £25,000.00	Revenue
Pathology Services	Local Tender Threshold over £25,000.00	Revenue
Corporate Finance	Local Tender Threshold over £25,000.00	Revenue
Arts & Heritage in Health	Local Quotation Threshold over £5,000.00	Charitable Funds

<b>Summary</b>	<b>No.</b>	<b>Value exc. VAT</b>
<b>SQA</b>	6	£71,085.12
<b>STA</b>	9	£1,102,038.43
<b>RA</b>	7	£335,635.06
<b>CCN's</b>	5	£738,014.99

**s e.g., Single Quotation or Tender Actions, Contract Change Notes, Execut**

<b>Contract Ref:</b>	<b>Period of Agreement/Delivery Date</b>	<b>Agreement Title/Description</b>
SBU-SQA-28804-028-JW-22	14th November 2022 - 13th January 2023	Repair of Safespace enclosure tent on behalf of a Learning Disability patient.
SBU-SQA-28804-029-PJ-22	2nd December 2022	Building on the NHS providers Board Dynamic Workshop to develop a Health Board Executive Team development programme
SBU-SQA-28804-030-SM-22	November 2022	Digital maturity assessment, for the EMRAM module, of one of SBU's hospital sites, as part of an All Wales benchmarking exercise.
SBU-SQA-28804-031-JB-22	1st September 2022 - 1st April 2023	Music Sessions for wellbeing of patients and staff for 40 week.
SBU-SQA-28804-034-JB-22	16th December 2022	Essential fire alarm maintenance.
SBU-SQA-28804-035-PJ-22	November 2021 - October 2022	Statutory Financial Accounts Audit and Performance Audit Fees
SBU-STA-28892-040-JRC-22	31st October 2022 - 30th January 2023	A locum senior fellow for General paediatrics to cover resident on call shifts with paediatric wards in Morriston
SBU-STA-28892-041-AM-22	1st July 2022 - 31st March 2023	To provide specialist respite care for Paediatric patients
SBU-STA-28892-043-JW-22	10th October 2022 - 9th October 2024	Fees for candidate registration for the BTEC E-Learng in Positive Behavior Support Accredited Qualifications
SBU-STA-28892-045-SP-22	November 202 - October 2023	Statutory Financial Accounts Audit and Performance Audit.
SBU-STA-28892-046-PJ-22	October 2022 - September 2028	Implementation and supply of Time-clocks

SBU-STA-28892-047-RC-22	November 2022 - October 2023	Ongoing annual service maintenance contract for the Oncology System
SBU-STA-28892-048-AM-22	26th October 2022 - 31st March 2023	Enhancement of Eye Care Liaison Service for NPTH & Singleton Ophthalmology Departments.
SBU-STA-28892-049-PJ-22	1st November 2022 - 31st March 2023	Provision of Security services at Gorseinon Vaccination Centre
SBU-STA-28892-050-PJ-22	1st November 2022 - 31st October 2025	3 year lease of Unit 18 at Dafen Industrial Park to provide storage for equipment coming out of Bay Studio.

<b>Retrospective Action)</b>		
<b>Contract Ref:</b>	<b>Period of Agreement/Delivery Date</b>	<b>Agreement Title/Description</b>
RA-015-RC-22	9th September 2022	ALN Specialist Legal Advice
RA-016-SP-22	21st September 2022	V3 Surveillance Audit ref: (GB/MED-c208124)
RA-017-SM-22	18th October 2022	ISO 14001:2015 - Environmental Management System Certification Surveillance Audit
RA-023-JB-22	20th December 2022	Weishaupt Burner Gas Valve Part No: 625011 Solenoid Valve DMV 5100/12 220 - 240V

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<b>Contract Ref:</b>	<b>Period of Agreement/Delivery Date</b>	<b>Agreement Title/Description</b>
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CCN-001-RC-22	29th November 2022 - 28th November 2023	Extension of Mobile/Visiting PET/CT Service Ref: RC/KW - MSCS 0167 22
CCN-002-PB-22 (a)	1st September 2022 - 31st January 2023	Provision of Managed Equipment Services - Haematology
CCN-002-PB-22 (b)	30th November 2022 - 28th February 2023	Provision of Managed Equipment Services - Blood Transfusion
CCN-003-SM-22		VAT Consultancy Services - Ref: NPS-PS-0080-17 LOT 1A - Amendment to final fees in respect of 2021/2022 COS review.
CCN-005-SM-22	21st February -2022 - 31st October 2022	Commemorative Art Project - SBU- ITT-49177-027-21

<b>Value inc. VAT</b>
£81,907.73
£1,403,033.96
£389,337.07
£882,618.93

**tion of an Extension, Award of Further**

Supplier	Cost banding
Safespace	£5k-£25k
Hennessy Consulting Ltd	£5k-£25k
HIMMS Europe	£5k-£25k
Music in Hospitals and Care.	£5k-£25k
Static Systems Group	£5k-£25k
Audit Wales	£5k-£25k
Mediteam Recruitment Ltd	£25k-£50k
Ty Hafan Hospice	£50k – PCR (£138,760)
Pearson Education	£50k – PCR (£138,760)
Audit Wales	Over PCR
Allocate Software	£25k-£50k

CIS Oncology Ltd	£50k – PCR (£138,760)
Royal National Institute for the Blind (RNIB)	£50k – PCR (£138,760)
Empath Security	Over PCR
MMR Holdings Ltd	Over PCR

<b>Supplier</b>	<b>Cost banding</b>
Watkins & Gunn	£5k-£25k
SGS United Kingdom Ltd	£5k-£25k
SGS United Kingdom Ltd	£5k-£25k
Weishaupt UK Ltd	£5k-£25k

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<b>Supplier</b>	<b>Cost banding</b>
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InHealth	Over PCR
Ortho Clinical Diagnostics	£50k – PCR (£138,760)
Sysmex UK Ltd	£50k – PCR (£138,760)
Ernst & Young	£50k – PCR (£138,760)
Nigel Talbot Sculpture	£5k-£25k

## Business etc.

Reason/Circumstance
The Safespace is designed for those with autism, epilepsy, behaviours which challenge and profound multiple learning difficulties, including for those with full mobility, The existing Spacesafe Pod is damaged and equires repair. Whilst being repaired, the company has provided a rental Pod and the repaired Pod will be transferred to the individual's next placement to enable tranision to occur from Llwneryr Unit to Meadow Court Unit
Supplier has been commissioned to design and deliver bespoke Executive Board Development to compliment and build upon the workshops undertaken in September by NHS Provider. Hennessy Consulting provide the necessaey experience & expertise and have delivered a number wide range of Board & Executive team interventions,system leadership and coaching assignments in the NHS, with a sound understanding of the challenges faced by leders & teams. The workshop is designed specifically to meet the requirements of the Health Board and is bespoke.
HIMSS has an internationally recognised standard/assessment model for assessing digital maturity of healthcare organisations. DHCW have engaged with HIMSS for each HB in Wales to have a Digital maturity assessment, for the EMRAM module, at one of their hospital sites. Outcomes of the maturity model will be fed into the Heath Board's digital transformation.
Pre-exisiting partnership with the supplier, the size of then supplier ensures a higher availability of highly skilled, local musicians.
To undertake remedial works to fire alarm system, both emergency and routine.This is a statutory requirement.
Audit Wales are appointed by Welsh Government to audit the Health Board and the fees charged are agreed by Audit Wales with Welsh Government. The fees for 2021/22 were reported to Audit Committee in March 2022. Further SQA required as a consequence of increased fees during the term of the agreement,
Substantive post was successfully filled, but individual subsequently withdrew 3 days before taking up the role. Short-term cover requirements put in-situ, but not sustainable. This temporary post required whilst a further recruitment process is being undertaken.
This service is provided as part of the care provision of the Children's continuing care package.
BTEC-E-Learning in Positive Behaviour Support has been developed internally and is accessed by both internally by Healh Board staff and also other orngisations worldwide. If the access registration and accreditation stopped a significant income stream would be effected. 5 year business case has been done to support the initiative.
Audit Wales are appointed by Welsh Government as the Health Board's auditors under the NHS Wales Act and so the Health Board has no option that to use Audit Wales as Its prescribed auditors.
The implementation of Allocate time clocks will allow the Health Board to remove an existing more expensive system. The migration will return a significant cost saving to the Health Board circa £55k per annum..This is essential for the cost improvement programme of Workforce & OD

This is an essential system for the functioning of the Cancer Services. Without renewal, the ASM licence would be revoked and without support the system would become unsafe
This is to provide additional resources to bring the Health Board in line with others in fully funding the ECLO service, which is an essential part of the Ophthalmology Service. Without this service the H.B. would need to employ additional nursing Staff, along with all the associated Training etc.
This is the continuation of an existing service commissioned during the COVID Pandemic. Empath Security provide a tailored and professional service which is pivotal to the efficient running of the Health Board's Mass Vaccination Centres.
This is essential storage for the goods from Bay Studio. Advised by NWSSP SES as the best deal for the Health Board. This includes service charge, insurance rent and fit of costs which is payable upon completion of the lease.

<b>Reason/Circumstance</b>

<p>This Service was to be underpinned by an SQA with a justifiable reason for single sourcing. However, despite the SQA being completed in a timely manner by the Service, there were delays in the authorisation process. As a consequence, this RA has been completed and the matter addressed with Corporate colleagues.</p> <p>Delay in All Wales contract request to cover outstanding invoice for V3 Audit, up until June 30th 2022</p>
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<p>The RA is required to cover outstanding invoices for audits undertaken in April 2022. The stakeholder has been advised to engage with procurement for future audits or accreditations related to SGS, so that a suitable solution can be implemented to avoid breaching the Health Boards' Standing Financial Instructions. The audits contribute to a 3-year recertification, therefore an SQA (SBU-28804-025-SM-22) has been created to support the planned audits to avoid loss of the Health Boards ISO accreditation.</p>
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<p>Repair to Main Boiler No.4 . The cost of the valve increased from the originally quoted price which was under the Quotation threshold to £5,424.70 + VAT.</p>
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<b>Reason/Circumstance</b>

12 month extension of Mobile/Visiting PET/CT Service until such time as the permanent static solution is introduced on-site.

5 month extension as a pre-cursor to formalising long term contractual MSC arrangements for Pathology Services

3 month extension as a pre-cursor to formalising long term contractual MSC arrangements for Pathology Services

Ernst & Young LLP's fees for annual VAT underclaims was underestimated at the time of contract award in 2021. Additional fees agree to support COS reviews withing SBU HB.

The artworks are to commemorate the experience of staff and local communities during Covid-19, to honor and remember those who lost their lives and to capture the social solidarity and support the community provided. The original cost of the artworks totaled £85,000, sponsored via Charitable Funds. However, due to additional stonework and memorial plaques required, the artist fees have increased a further £15,000, which has been approved for payment by the Charitable Funds Committee. The additional £15,000 has been approved by Charitable Funds and an 'Expenditure Request Form', has been authorised by Jo Abbot-Davies.

## Compliance Comment

Safespace are the only supplier able to maintain/repair the Pod, there are no alternatives in the market.

The provider has specific expertise to deliver against the Health Board's requirement.

Sole supplier of an industry standard assessment model. To assess the digital maturity of Healthcare Systems. The exercise is being co-ordinated by DHCW on an All-Wales basis, to ensure consistency.

The project is unique as it was designed in partnership with the supplier to meet specific needs. Supplier is the

Static Systems Group are the sole UK company that can support the fire alarm system, due to its closed protocol status. STA is required to facilitate the cost of any remedial work that is not covered by the existing maintenance contract

Audit Wales are appointed by Welsh Government as the Health Board's auditors under the NHS Wales Act and so the Health Board has no alternative other than to use Audit Wales as its prescribed auditors. The SQA is required to enable a purchase order to be raised in accordance with the All Wales No PO No Pay Policy.

Current difficult market conditions for specialised healthcare staff well recognised with contract provider not able to deliver. Unable to source through Medacs or other PS Frameworks. Charges broadly in line with Framework tariffs.

Ty Hafan are the only specialist Children's Hospice in Wales.

The health board are unable to challenge the fees/appointment as it is set by the Welsh Government.

This is a mandatory requirement. No other provider is available. It is suggested that consideration should be given to adding this service to the exemption list.

Allocate time clocks are the only compatible product that interfaces with existing software. There are no alternatives in the market.

This software is proprietary in nature & CIS Oncology are the only supplier able to support the system, there are no alternative service providers

Welsh Government mandates the Health Board to provide ECLO Services with Ophthalmology and RNIB are the incumbent contractors until March 2023.

It is not practical to change the current service provision from Empath, which would incur further cost, however, following confirmation of Welsh Government's long term vaccination strategy, a competitive process must take place.

These are the only suitable premises in the vicinity which was in good order and has security monitoring.

**Compliance Comment**

The Service engaged with appropriate time to get an SQA raised, however, due to staff resources and competing priorities, the SQA was not approved before the work was commissioned, subsequently requiring an RA to be completed.

The RA is required to cover outstanding invoices for audits undertaken in February 2022. The stakeholder group have been advised to engage with procurement for any future audits or accreditations related to SGS, so that a suitable and timely solution can be implemented to avoid breach of the Health Boards' Standing Financial Instructions.

The stakeholder has been advised that a formal tender process is required to ensure true market engagement, value for money and compliance. Procurement has worked closely with the stakeholder to agree milestones to begin the tender in January 2023, in readiness for a formal contract to begin in early 2024.

This is an OEM requirement, therefore Estates can only use Weishaupt for boiler servicing and parts. However, the Estates department have been advised that should they require any goods or services over £5,000, they must seek Procurement advice, regardless of the fact that this maybe an OEM issue. Estates have now been advised that for any future Weishaupt parts, an SQA would be the correct route, following further guidance from Procurement colleagues.

**Compliance Comment**

Contract awarded following mini competition exercise under the terms of the NHS SC Framework and conducted by Specialist Estates Service.

Original MSC awarded following Competitive OJEU tender process

Original MSC awarded following Competitive OJEU tender process

Original contract awarded to Ernst & Young for the continuation of VAT Consultancy Services, under the terms and conditions of the NPS Framework Agreement.

Original opportunity advertised on Sell2Wales and competition ensued through open tender with Nigel Talbot Sculpture being appointed as the successful bidder

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