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Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



		Agenda Item	2.2 (i)
Freedom of Information Status		Open	
Reporting Committee	Audit Committee		
Author	Leah Joseph, Corporate Governance Officer		
Chaired by	Nuria Zolle, Independent Member		
Lead Executive Director (s)	Pam Wenger, Director of Corporate Governance		
Date of last meeting	14 September 2021		
Summary of key matters considered by the committee and any related decisions made.			
Audit Registers and Status of Recommendations			
The committee received a more focused report that provided specific assurance on the actions that were being taken to deal with limited assurance and high priority recommendations.			
Matters raised by members:			
<ul style="list-style-type: none">- Progression of the recommendations is needed;- Overdue recommendations in relation to estates need to be reviewed at November's committee for assurance.			
Standing Orders			
Committee members recommended the amendments made to standing orders and standing financial instructions to the board for approval. The inclusion of the revised Welsh Health Specialised Services Committee standing orders, standing financial instructions and memorandum of understanding into the health board's suite of documents were also recommended to the board for approval.			
Matters raised by members:			
<ul style="list-style-type: none">- Public attendance at face-to-face Health Board meetings.			
Internal Audit Progress Report			
A report was received which requested approval of proposed changes to the approved plan. The changes were approved by committee members.			
Matters raised by members:			
<ul style="list-style-type: none">- Timescales for completion of internal audit reviews for quality and safety governance framework, mental health legislative compliance and child and adolescent mental health services.			
Key risks and issues/matters of concern of which the board need to be made aware:			
Finance Update			
A verbal update from the Interim Director of Finance was received. Members were advised that the financial position for period eleven stood at an in-month overspend of £10.1m.			

Losses and Special Payments

During the period of 1st April 2021 and 31st July 2021 there were £16.5m gross losses. £16m of this was recoverable from Welsh Risk Pool, meaning that the actual loss to SBUHB in the period totaled £626,744. Three settlements were in excess of £2m totaling £12.3m.

NWSSP Procurement Single Tender Actions and Quotations

During the period of 16th June 2021 to 18th August 2021 there were nine single quotation actions approved, with a total value of £105,644.57 and eight single tender actions, with a total value of £1,010,551.58. There were three retrospective action file notes, with a total value of £47,136.00 which were sent to the Head of Procurement for approval. In comparison to the previous reporting period from 29th April 2021 to 21st June 2021, the figures were almost identical. The pandemic has dictated the process. All SBUHB 's executives are to receive procurement training on 20th October 2021, and learning from this event will be included in the next iteration of the report.

Counter Fraud Progress Report

Attendance at the fraud awareness sessions had been good and the counter fraud team have delivered 22 sessions this year via a blend of face-to-face and Microsoft Teams delivery. Information was raised within the NHS counter fraud community regarding a case dealt with by South Wales Police as an individual had been registering themselves at multiple general practices (GP). Work is still ongoing but initial meetings suggest that there is a lack of control in GP registrations with basic checks easily circumnavigated and different approaches amongst GP's in undertaking checks at point of registration. As well as access to primary care services including access to drugs via prescriptions, there is potential to access secondary care when this may be chargeable or that individual is out of area and therefore not funded appropriately.

Matters raised by members:

- Counter fraud training for staff at induction point.

Delegated action by the committee:

None taken.

Main sources of information received:

The following reports were received with no significant issues raised:

- **Audit Wales Performance and Progress Report**
- **Audit Wales Structured Assessment Report**
- **Welsh Health Specialised Service Committee Governance Review and Management Response**
- **Clinical Audit and Effectiveness Report**

Highlights from sub-groups reporting into this committee:

None identified.

Matters referred to other committee

None identified.

Date of next meeting

09 November 2021