



**GIG
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Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



		Agenda Item	2.4 (iii)
Freedom of Information Status		Open	
Reporting Committee	Audit Committee		
Author	Claire Mulcahy, Corporate Governance Manager		
Chaired by	Martin Sollis, Independent Member		
Lead Executive Director (s)	Pam Wenger, Director of Corporate Governance		
Date of last meeting	09 March 2021		
Summary of key matters considered by the committee and any related decisions made.			
<ul style="list-style-type: none">Audit Registers and Status of Recommendations As requested, the committee received a more focused report that provided specific assurance on the actions that were being taken to deal with limited assurance and high priority recommendations. Members were happy with the new format report and took assurance from the additional information provided, which confirmed that during the pandemic appropriate action was being taken to prioritise responses to key recommendations and particularly the very few that related to patient care areas. It was agreed that this new format would be incorporated into future reports as part of the work programme.Board Assurance Framework The draft Board Assurance Framework was received and members were content with the progress being made on the document. The document would continue to be developed to ensure the objectives would be mapped in line with the new Organisational Annual Plan as it progresses and to ensure that all external reviews were captured in one place and co-ordinated via a common approach. Members were informed that following these further changes the document would be approved by the Board so that it would be operational by April 2021Internal Audit Progress Report Members were advised that from a total of thirty four audit reviews agreed in the audit plan for 2020-21, ten had been finalised; three had been issued in draft; ten were in progress; one had not yet been started and two would be reported at the year end. Members raised concern relating to the recently finalised <i>Discharge Planning</i> report as this had a <i>limited assurance</i> rating due to the wide spread non-compliance with the agreed discharge planning policy. It was agreed that this needed further scrutiny by relevant committee's to ensure that the necessary improvements were being made in this key area.			
Key risks and issues/matters of concern of which the board need to be made aware:			
<ul style="list-style-type: none">Health Board Risk Register and COVID-19 Gold Command Risk Register There were thirty-four high risks on the health board risk register and no new risks have been added since November 2020. One risk, the funding to support the response to the COVID-19 pandemic had been closed as the position on this was now clear. There were twenty-three risks on the COVID-19 Gold Command Risk Register, five had been closed and four new risks had been added since November 2020. Members noted that a number of risks covered by the COVID-19 Gold Command register were longer term and may exist post the Gold command e.g. those relating to workforce. Members asked officer's to consider how and when they should			

be reported within the main risk register.

- **Audit Wales – Annual Plan 2021-22**

In relation to the Audit of Annual Accounts, members queried why Audit Wales were referring to Pension Pay Scheme payments as potentially being irregular expenditure as this would be a significant issue to be drawn to the attention of the Board as part of the accounts process. Members noted that further clarification and work was ongoing with Audit Wales and Welsh Government on this issue and a further update would be given at the next audit committee.

- **Finance Update**

Members were advised that the financial position for period eleven stood at an in-month overspend of £1.758m with a cumulative overspend of £22.351m. The health board were on track to deliver the £24.4m year-end agreed deficit.

Delegated action by the committee:

None identified.

Main sources of information received:

The following reports were received with no significant issues raised:

- **Structured Assessment Recommendations;**
- **Compliance with the Corporate Governance Code;**
- **Internal Audit Annual Plan and Charter;**
- **Audit Wales Performance and Progress Report;**
- **Annual Accounts Update;**
- **Losses and Special Payments;**
- **NWSSP Procurement Single Tender Actions and Quotations;**
- **Field Hospital Assurance Review Update**

Highlights from sub-groups reporting into this committee:

None identified.

Matters referred to other committee

None identified.

Date of next meeting

18 May 2021