



**GIG**  
CYMRU  
**NHS**  
WALES

Bwrdd Iechyd Prifysgol  
Bae Abertawe  
Swansea Bay University  
Health Board



<b>Meeting Date</b>	<b>30 January 2020</b>	<b>Agenda Item</b>	<b>3.3</b>
<b>Report Title</b>	<b>Update On Implementation of the Strategic Framework for the Voluntary Sector</b>		
<b>Report Author</b>	<b>Joanne Abbott-Davies, Assistant Director of Strategy &amp; Partnerships</b> <b>Aileen Flynn, Head of Strategic Partnerships</b>		
<b>Report Sponsor</b>	<b>Siân Harrop-Griffiths, Executive Director of Strategy</b>		
<b>Presented by</b>	<b>Siân Harrop-Griffiths, Executive Director of Strategy</b>		
<b>Freedom of Information</b>	Open		
<b>Purpose of the Report</b>	The purpose of this report is to provide an update on the work which has been undertaken on the procurement process for the implementation of the Strategic Framework for the Voluntary Sector.		
<b>Key Issues</b>	The Strategic Framework for the Voluntary Sector 2017-2020 was formally approved by the Health Board in March 2017. The Bridgend Boundary Change has delayed implementation of the new procurement process for the sector due to the need to identify all the SLAs which needed to be transferred to Cwm Taf Morgannwg University Health Board. To enable services to continue delivery during the procurement process the Health Board previously agreed to continue funding all current Third Sector providers on the same terms and conditions until 31st March 2021.		
<b>Specific Action Required</b> <i>(please choose one only)</i>	<b>Information</b>	<b>Discussion</b>	<b>Assurance</b>
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Recommendations</b>	Members are asked to: <ul style="list-style-type: none"> <li>• <b>NOTE</b> the work underway to develop a Third Sector commissioning framework</li> <li>• <b>AGREE</b> the proposed funding position for the current Third Sector SLAs for 2020/21</li> </ul>		

## **UPDATE ON KEY EXTERNAL PARTNERSHIPS**

### **1. INTRODUCTION**

The Strategic Framework for the Voluntary Sector 2017-2020 was formally approved by the Health Board in March 2017. The framework was co-developed and co-produced with the sector. It is an ambitious programme of change based on mature working relationships between the Health Board and the sector. The Framework outlines three main areas of work – engagement and relationships; service delivery and transformation (including funding) and volunteering.

### **2. BACKGROUND**

In 2016 the Health Board agreed to implement an open and thorough procurement process for all voluntary sector services funded by the Health Board but decided that it was vital that the way in which this was progressed reflected the existing positive relationship with the sector and build on this. Therefore, it was decided that a strategic framework should be formulated, in partnership with voluntary sector organisations, to ensure these relationships continue to develop positively going forward and that all opportunities that this presents are taken forward. This would enable the Health Board to resolve the inequitable spread of resources across organisations.

The Bridgend Boundary Change has delayed implementation of the new procurement process for the sector due to the need to identify all the SLAs which needed to be transferred to Cwm Taf Morgannwg University Health Board. To enable services to continue delivery during the procurement process the Health Board previously agreed to continue funding all current Third Sector providers on the same terms and conditions until 31<sup>st</sup> March 2021.

### **3. PROCURMENT PROCESS**

Following the successful completion of the Bridgend Boundary Change the Health Board has revised its procurement process timelines, attached at Appendix 1. This outlines a 2 year procurement process, reflecting the complexity of the process and the need to support the sector appropriately to prepare for this process. The Framework allows the Health Board to work collaboratively with a wide range of voluntary sector organisations, service users and carers to develop new service specifications, encouraging fresh approaches and innovation. In line with this a number of Market Engagement events with Third Sector providers, service users and carers have taken place between July and December 2019. These events have been very successful in enabling the Health Board to understand how our current service needs can be shaped and delivered by the Sector and understand the intentions of the market and identify any challenges or barriers.

Following on from this the Health Board is establishing a Steering group which will oversee the development of the services to be commissioned through the Framework. The group will have representatives from all Delivery Units and relevant Corporate Directors, with specialist leads identified from each area to agree new service delivery

models and finalise new service specifications. The Steering Group will host a number of themed workshops with services users, carers and providers on specific areas of need such as Mental Health, Learning Disabilities and Cancer Services etc to enable co-production and collaboration on the new services. The Steering Group will use the Health Board's Three Year Plan/IMTP to ensure services are commissioned to meet our priorities and Whole System Plans.

Following the disaggregation of services owing to the Bridgend Boundary Change, Third Sector funding from individual Delivery Units was centralised to the Strategy Directorate, helping to streamline the invoicing process. In line with the Strategic Framework it is proposed that the Health Board continues with its extant commitment to the ring-fence of monies for voluntary sector funding in 2020/21 of 2,330,214k. This will be applied in a steady state, with no uplift and no cost improvement to all existing voluntary sector SLAs.

#### **4. RECOMMENDATIONS**

The Health Board is asked to:

- **NOTE** the work underway to develop a Third Sector commissioning framework
- **AGREE** the proposed funding position the current Third Sector SLAs for 2020/21

Governance and Assurance		
<b>Link to Enabling Objectives</b> (please choose)	<b>Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities</b>	
	Partnerships for Improving Health and Wellbeing	<input checked="" type="checkbox"/>
	Co-Production and Health Literacy	<input checked="" type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input checked="" type="checkbox"/>
	<b>Deliver better care through excellent health and care services achieving the outcomes that matter most to people</b>	
	Best Value Outcomes and High Quality Care	<input checked="" type="checkbox"/>
	Partnerships for Care	<input checked="" type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input checked="" type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
Health and Care Standards		
(please choose)	Staying Healthy	<input checked="" type="checkbox"/>
	Safe Care	<input checked="" type="checkbox"/>
	Effective Care	<input checked="" type="checkbox"/>
	Dignified Care	<input checked="" type="checkbox"/>
	Timely Care	<input checked="" type="checkbox"/>
	Individual Care	<input checked="" type="checkbox"/>
	Staff and Resources	<input type="checkbox"/>
Quality, Safety and Patient Experience		
The report highlights the current partnership arrangements with Local Authorities and other partners. These arrangements have been developed to improve outcomes for patients and mitigate any quality and safety risks.		
Financial Implications		
The recommendations made are not associated with any financial implications. Members of the Committee are not being asked to consider or approve any financial assumptions.		
Legal Implications (including equality and diversity assessment)		
There are no legal implications associated with this report or the plans outlined within it. The Health Board is fulfilling the statutory requirements placed on it to participate in the partnerships outlined in this paper.		
Staffing Implications		
There are no staffing implications associated with this report or the plans outlined within it.		
Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)		
The actions outlined in the report support the five ways of working outlined in the Act. Swansea Bay UHB is working collaboratively with partner organisations to identify improved ways of working to support the longer term strategic vision of the organisations involved.		
<b>Report History</b>	This Board considered a previous Partnership Report Update on 31 <sup>st</sup> January 2019.	
<b>Appendices</b>	<b>Appendix 1 Third Sector Framework Procurement Process Timeline</b>	

## **Appendix 1**

### **Phase 1 – Establishment of appropriate governance to oversee procurement process**

Agreement of project structure and governance processes to oversee implementation of this programme of work (by end January 2019 – complete)

Agreement of transfer of Bridgend SLAs to Cwm Taf UHB (by end March 2019 - complete)

Presentation of timeline for procurement to sector (2019 – complete)

### **Phase 2 – European Union Advertisement**

Preparation of EU advertisement (March 2019 – complete)

EU advertisement published (May 2019 – complete)

Agree leads within HB for each “lot” (January 2020 – in progress)

Agree mechanisms for how service users and carers will be involved alongside the sector in developing service specifications (January 2019 – April 2019 – complete)

Agreement of “lots” and basic descriptions for each plus FAQs prepared (January 2020 – June 2020 – in progress)

### **Phase 3 – Tender preparation workshops**

Workshops and drop in sessions held with sector to brief on approach and deal with any queries, ensuring consistency throughout (May 2019 – May 2020 – in progress)

Clarify information required for PreQualification Questionnaire (PQQ), including how evaluation of PQQs will be carried out (January 2020 – April 2020 – in progress)

PQQ ready for issue to sector (July 2020)

Response to advertisement by sector organisations required within 30 days (August 2020)

### **Phase 4 – PQQ preparation**

Preparation of PQQ starts after being issued in March 2020 – (May 2020)

Team established within HB (Steering Group) to prepare PQQ – (January 2020 – in progress)

Standard template prepared for completion, including “competency” and capability questions and clear set of realistic minimum standards required, in line with current service level agreements (June 2020)

PQQ content and format informed by engagement with sector on process (March – April 2020)

### **Phase 5 – Issue of PQQ**

PQQ issued (April 2020) with submissions due back May 2020

Ongoing process of questions posted only via procurement portal to ensure openness and transparency of process (April to May 2020)

No direct communications between PQQ evaluation team and sector organisations through this stage (April – May 2020)

### **Phase 6 – Preparation of ITT**

Detailed specification for general plus each “lot” plus criteria / weightings / scoring developed (April to May 2020)

Ensure involvement of service users / carers / sector in influencing content (May 2019 – May 2020 – in progress)

Agree mini competition process and documentation to be used for each “lot” (June 2020)

### **Phase 7 – Evaluation of PQQs**

Evaluations of PQQs completed (June 2020)

Outcomes of evaluation notified (June 2020)

ITT process to be followed outlined to sector (1st week in July 2020)

### **Phase 8 – Invitation to Tender (ITT) Issued & Submissions received**

ITT issued (July 2020)

Submissions received back from sector organisations (August 2020)

All queries dealt with via procurement portal to ensure openness and fairness of process. (July – August 2020)

**Phase 9 – Evaluation of ITT Submissions** ITT evaluation team (Steering Group) established to oversee development of all aspects of ITT (January 2020 – in progress)

Evaluation of ITT submissions carried out (August – September 2020)

### **Phase 10 – Approvals process**

Tender Evaluation Report prepared for Board approval/Chair sign off (November 2020)

Letters re: outcome of evaluation of ITT issued to individual sector organisations (December 2020)

### **Phase 11 – Establishing the Voluntary Sector Procurement Framework**

Procurement Framework Steering Group established to oversee process (January 2020 – in progress)

Framework documentation developed and issued (February 2020 – June 2020)

### **Phase 12 – Issue Mini Competition Documentation**

Mini competition documentation developed and issued (October 2020)

Sector organisations have 2 weeks to respond to documentation (October 2020)

### **Phase 13 – Evaluation of Mini Competition**

Submissions received and interrogated in readiness for discussions with organisations (October 2020).

Presentations / interviews held with sector organisations (November 2020)

Decisions made on awarding of SLAs and reported to SPCG (December 2020)

Organisations notified of outcome of mini competition process and outlining next steps for successful bidders (December 2020)

### **Phase 14 – Establishment of new SLAs**

Updated SLA documentation and terms of award issued to successful bidders (January 2021)

Contract award/SLAs signed off (March 2021)