



GIG
CYMRU
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WALES

Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



Meeting Date	19 September 2019	Agenda Item	6.2
Report Title	Audit Committee Self-assessment		
Report Author	Claire Mulcahy, Corporate Governance Officer		
Report Sponsor	Pam Wenger, Director of Corporate Governance		
Presented by	Pam Wenger, Director of Corporate Governance		
Freedom of Information	Open		
Purpose of the Report	The purpose of the report is to inform the committee of the process for the annual self-assessment.		
Key Issues	<p>Traditionally the self-assessment is undertaken at the end of each financial year to determine committee members' opinions as its effectiveness during the year.</p> <p>The Audit Committee Chair agreed to postpone the self-assessment for 2017-18 as there were new members to the Board and wanted to give the Audit Committee opportunity to fully assess the effectiveness of the Committee.</p> <p>The generic survey (appendix 1) has been uploaded to lbabs and will be available for independent members, executives and external advisors until 25th October 2019 to complete. After this time, internal audit colleagues will collate the responses into charts for consideration and discussion at the November Audit Committee.</p>		
Specific Action Required <i>(please choose one only)</i>	Information	Discussion	Assurance
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Recommendations	<p>Members are asked to:</p> <ul style="list-style-type: none"> • NOTE the report; • COMPLETE the self-assessment to inform areas of development for the forthcoming year 		

AUDIT COMMITTEE SELF- ASSESSMENT

1. INTRODUCTION

The purpose of the report is to inform the committee of the process for the annual self-assessment.

2. BACKGROUND

All board members are being asked to complete a self-assessment for each committee of which they are a member to determine its effectiveness and ability to discharge its role. The outcome enables the Committee to identify areas of development and focus for the forthcoming year including any training and development, in addition to changes to processes and procedures.

3. GOVERNANCE AND RISK ISSUES

Traditionally the self-assessment is undertaken at the end of each financial year to determine committee members' opinions as its effectiveness during the year.

The Audit Committee Chair agreed to postpone the self-assessment for 2017-18 as there were new members to the Board and wanted to give the Audit Committee opportunity to fully assess the effectiveness of the Committee.

The generic survey (**appendix 1**) has been uploaded to Ibabs and will be available for independent members, executives and external advisors until **25th October 2019** to complete. After this time, internal audit colleagues will collate the responses into charts for consideration and discussion at the November Audit Committee.

4. FINANCIAL IMPLICATIONS

There are no financial implications to note.

5. RECOMMENDATION

Members are asked to:

- **NOTE** the report;
- **COMPLETE** the self-assessment to inform areas of development for the forthcoming year

Governance and Assurance		
Link to Enabling Objectives (please choose)	Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities	
	Partnerships for Improving Health and Wellbeing	<input checked="" type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input type="checkbox"/>
	Deliver better care through excellent health and care services achieving the outcomes that matter most to people	
	Best Value Outcomes and High Quality Care	<input type="checkbox"/>
	Partnerships for Care	<input checked="" type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
Health and Care Standards		
(please choose)	Staying Healthy	<input type="checkbox"/>
	Safe Care	<input type="checkbox"/>
	Effective Care	<input checked="" type="checkbox"/>
	Dignified Care	<input type="checkbox"/>
	Timely Care	<input type="checkbox"/>
	Individual Care	<input type="checkbox"/>
	Staff and Resources	<input type="checkbox"/>
Quality, Safety and Patient Experience		
Ensuring the board committee structure is an effective part of the governance arrangements is key to quality, safety and patient experience.		
Financial Implications		
There are no financial implications.		
Legal Implications (including equality and diversity assessment)		
There are no legal implications.		
Staffing Implications		
There are no staffing implications.		
Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)		
Ensuring the board committee structure is an effective part of the governance arrangements aligns with the health board's long-term future.		
Report History	The self-assessment is an annual process.	
Appendices	Appendix one – the self-assessment survey.	