

Appendix B

ABM UNIVERSITY HEALTH BOARD

INTERNAL AUDIT REGISTER 2018/19

Audit Assurance Rating Key		
Substantial Assurance	Some Assurance	
Reasonable Assurance	No Assurance	

Progress Monitoring of Approved Plan			Completion of Work				Draft Report				Management Responses				Final Report				Audit Assurance Rating / Audit Committee Date		
			Report Ref. No.	Executive Lead	Planned Finish	Actual Finish	Completion		Due	Issued	Completion		Due	Received	Completion		Due	Issued		Completion	
							On time	Late			On time	Late			On time	Late				On time	Late
12	Vaccination and Immunisation	ABM-1819-012	Director of Public Health		29/06/2018	1		13/07/2018	29/06/2018	1		20/07/2018	20/07/2018	1		03/08/2018	02/08/2018	1		20-Sep-18	
13	Third Sector Commissioning – Follow Up Review	ABM-1819-047	Director of Strategy		31/08/2018	1		14/09/2018	31/08/2018	1		21/09/2018									
17	Charitable Funds - Funds Held on Trust (Part 1)	ABM-1819-016a	Director of Finance		01/06/2018	1		15/06/2018	07/06/2018	1		28/06/2018	11/07/2018		1	25/07/2018	16/07/2018	1		20-Sep-18	
18	Charitable Funds - Funds Held on Trust (Part 2)	ABM-1819-016b	Director of Finance		17/08/2018	1		31/08/2018	29/08/2018	1		19/09/2018	30/08/2018	1		13/09/2018	31/08/2018	1		20-Sep-18	
19	Charitable Fund: Golau Governance – Follow Up Review	ABM-1819-017	Director of Finance		20/08/2018	1		03/09/2018	23/08/2018	1		13/09/2018									
21	Annual Quality Statement	ABM-1819-019	Director of Nursing & Patient		29/06/2018	1		13/07/2018	29/06/2018	1		13/07/2018	20/07/2018		1	03/08/2018	03/08/2018	1		No Rating	
22	Putting Things Right: Integrity of DatixWeb (Deferred from 2017/18)	ABM-1819-020	Director of Nursing & Patient		30/07/2018	1		13/08/2018	31/07/2018	1		21/08/2018	21/08/2018	1		04/09/2018	28/08/2018	1		20-Sep-18	
28	POVA Deprivation of Liberty Safeguards– Follow Up Review (Units High Risks are	ABM-1819-026	Director of Nursing & Patient		06/08/2018	1		20/08/2018	06/08/2018	1		05/09/2018									
30	Data Quality: Delayed Follow Ups	ABM-1819-028	Medical Director		23/08/2018	1		06/09/2018	24/08/2018	1		17/09/2018									
33	Health Records Management (Physical notes)	ABM-1819-031	Medical Director		12/06/2018	1		26/06/2018	14/06/2018	1		05/07/2018	04/07/2018	1		18/07/2018	18/07/2018	1		20-Sep-18	
37	GP Managed Practices: Cymmer Health Centre (Deferred from 2017/18)	ABM-1819-035	Chief Operating Officer		09/07/2018	1		23/07/2018	13/07/2018	1		17/09/2018	30/08/2018	1		13/09/2018	31/08/2018	1		20-Sep-18	
38	Princess of Wales Service Delivery Unit Governance Review	ABM-1819-036	Chief Operating Officer		27/07/2018	1		10/08/2018	30/07/2018	1		20/08/2018	14/08/2018	1		28/08/2018	15/08/2018	1		20-Sep-18	
47	Sickness Absence Management - Follow Up Review	ABM-1819-045	Director of Human Resources		29/06/2018	1		13/07/2018	29/06/2018	1		20/07/2018									

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Progress Monitoring of Approved Plan					Completion of Work		Draft Report		Management Responses		Final Report		Audit Assurance Rating / Audit Committee Date						
					Completion		Due	Issued	Completion		Due	Received		Completion		Due	Issued	Completion	
	Report Ref. No.	Executive Lead	Planned Finish	Actual Finish	On time	Late					On time	Late				On time	Late		