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Bwrdd Iechyd Prifysgol
Bae Abertawe
Swansea Bay University
Health Board



Meeting Date	10 March 2022	Agenda Item	3.5
Report Title	Board Effectiveness Assessment Action Plan		
Report Authors	Len Cozens, Head of Compliance		
Report Sponsor	Hazel Lloyd, Acting Director of Corporate Governance		
Presented by	Len Cozens, Head of Compliance		
Freedom of Information	Open		
Purpose of the Report	The purpose of this report is to provide an update on progress against the Board Effectiveness Assessment Action Plan		
Key Issues	<ul style="list-style-type: none"> The board is required to undertake a self-assessment of its effectiveness in terms of governance and internal controls. The findings of the 2020/21 self-assessment were presented to the July 2021 meeting of the board. A proposed action plan was also presented to this meeting, which was agreed. An initial update report on progress against that action plan was received by the Committee in January 2022. This report provides a further update. Currently there are seven areas where it has not been possible to complete actions within the originally agreed deadlines. Leads have provided revised deadlines/milestones for these actions as part of their progress updates 		
Specific Action Required <i>(please choose one only)</i>	Information	Discussion	Assurance
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Recommendations	<p>Members are asked to:</p> <ul style="list-style-type: none"> NOTE progress made as detailed within the updated Board Effectiveness Assessment Action Plan included at Appendix 1 AGREE any specific areas where members feel that further assurance is required, in order that these can be taken forward with the relevant Lead. 		

BOARD EFFECTIVENESS ASSESSMENT ACTION PLAN

1. INTRODUCTION

- 1.1 The purpose of this report is to provide an update on progress against the Board Effectiveness Action Plan

2. BACKGROUND AND CONTEXT

- 2.1 As part of the annual governance statement, the board is required to undertake an assessment of its effectiveness throughout the year in terms of governance and internal controls.
- 2.2 For 2020/21 the process was undertaken virtually due to the pandemic. A matrix was circulated in advance, and members were asked to discuss and vote on the board's current position for each criteria.
- 2.3 The findings of the process were presented to the July 2021 meeting of the health board, along with a comparison of progress against the findings of the previous survey. An action plan was also presented at this meeting, which was agreed by the board.

3. STATUS UPDATE

- 3.1 An initial update report on progress against that action plan was received by the Committee in January 2022.
- 3.2 Since that meeting, the action plan has again been circulated to all lead officers with a request that they provide updates on the actions assigned to them. The Head of Compliance has also met with lead officers in order to further review and update progress made. A copy of the action plan has been included at **Appendix 1** for information.
- 3.3 There are currently seven areas where Leads report that it has not been possible to complete actions within the originally agreed timescales. Revised timescales/milestones have been provided as part of their progress updates, and these have been recorded in an additional 'Revised Timescale' column which has been incorporated into the plan.

4. FINANCIAL IMPLICATIONS

- 4.1 There are no direct financial implications arising from the recommendations in this report.

5. RECOMMENDATIONS

- 5.1 Members are asked to:
 - **NOTE** progress made as detailed within the updated Board Effectiveness Assessment Action Plan included at **Appendix 1**
 - **AGREE** any specific areas where members feel that further assurance is required, in order that these can be taken forward with the relevant Lead.

Governance and Assurance		
Link to Enabling Objectives <i>(please choose)</i>	Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities	
	Partnerships for Improving Health and Wellbeing	<input type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input type="checkbox"/>
	Deliver better care through excellent health and care services achieving the outcomes that matter most to people	
	Best Value Outcomes and High Quality Care	<input type="checkbox"/>
	Partnerships for Care	<input type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
Health and Care Standards		
<i>(please choose)</i>	Staying Healthy	<input type="checkbox"/>
	Safe Care	<input type="checkbox"/>
	Effective Care	<input type="checkbox"/>
	Dignified Care	<input type="checkbox"/>
	Timely Care	<input type="checkbox"/>
	Individual Care	<input type="checkbox"/>
	Staff and Resources	<input type="checkbox"/>
Quality, Safety and Patient Experience		
Ensuring the board carries out its business appropriately and aligned with standing orders is a key factor in the quality, safety and experience of patients receiving care.		
Financial Implications		
There are no direct financial implications arising from this paper		
Legal Implications (including equality and diversity assessment)		
There are no direct legal implications arising from this paper		
Staffing Implications		
There are no direct staffing implications arising from this paper		
Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)		
The development of the board will provide a robust and sustainable organisation to support the communities it services.		
Report History	N/A	
Appendices	Appendix 1: Board Effectiveness Assessment Action Pan	