

## Audit Committee Update – Swansea Bay University Health Board

Date issued: January 2022

Document reference: 2107A2020-21

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# Audit Committee Update

## About this document

- 1 This document provides the Audit Committee with an update on current and planned Audit Wales work. Accounts and performance audit work are considered, and information is also provided on the Auditor General's wider programme of national value-for-money examinations and the work of our Good Practice Exchange (GPX).

## Accounts audit update

- 2 **Exhibit 1** summarises the status of our key accounts audit work to be reported during 2021-22.

### Exhibit 1 – Account's audit work

Area of work	Current status
Audit of the 2020-21 Charitable Funds Accounts	Audit work complete. An unqualified opinion was provided by the Auditor General in December 2021.
Audit of the 2021-22 Accountability Report and Financial Statements	Audit work is due to commence in February 2022.

## Performance audit update

- 3 The following tables set out the performance audit work included in our current and previous Audit Plans, summarising:
  - completed work since the last Audit Committee update (**Exhibit 2**);
  - work that is currently underway (**Exhibit 3**); and
  - planned work not yet started or revised (**Exhibit 4**).

## Exhibit 2 – Work completed

Area of work	Considered by Audit Committee
Radiology Services: Update on Progress	January 2022
Review of Quality Governance Arrangements	January 2022
Structured Assessment 2021 (Phase 2) – Corporate Governance and Financial Management Arrangements	January 2022
<u>Structured Assessment 2021 (Phase 1) – Operational Planning Arrangements</u>	September 2021

## Exhibit 3 – Work currently underway

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
Orthopaedic services – follow up  Executive Lead – Chief Operating Officer	This review will examine the progress made in response to our 2015 recommendations. The findings from this work will inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to tackle the significant elective backlog challenges. Our findings will be summarised into a single national report with supplementary	Date of publication realigned with anticipated publication date of national planned care work March 2022

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
	outputs setting out the local position for each health board.	
<p>Review of the commissioning and contracting arrangements post Bridgend boundary change</p> <p>Executive Lead – Director of Strategy/Director of Finance</p>	<p>This work will examine the robustness of the arrangements for overseeing and managing the contractual agreements established following the Bridgend service transition in 2019. The work will also consider the programme for service disaggregation (for relevant services), and whether the arrangements support future regional service models currently being explored by the organisations.</p>	<p>Report due to go out for clearance</p> <p>March 2022</p>

#### Exhibit 4 – Planned work not yet started or revised

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
<p>Review of Unscheduled Care</p> <p>Executive Lead – Chief Operating Officer</p>	<p>This work has been carried forward from the 2020 audit plan and will initially look to provide a high-level whole system overview of the unscheduled care. The overview will be informed by the development of an interactive database covering all aspects of the unscheduled care pathway. Further work will then be undertaken on specific elements of unscheduled care pathway, with a likely focus on activities to signpost patients to the most to appropriate</p>	<p>Whole system commentary and data analysis currently being completed</p> <p>Further work not yet started</p> <p>TBC</p>

Topic and relevant Executive Lead	Focus of the work	Current status and Audit Committee consideration
	care setting, and to manage patient flow through the system.	
Review of Service Group Governance Arrangements  Executive Lead – Board Secretary	The precise focus of this work is still to be determined but will look to review the effectiveness of the arrangements for governance at a service group level. This work will follow on from internal work currently being undertaken by the Health Board to strengthen governance arrangements with service groups.	TBC

## Good Practice events and products

- 4 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research.
- 5 Details of future events are available on the [GPX website](#).

## NHS-related national studies and related products

- 6 The Audit Committee may also be interested in the Auditor General’s wider programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded through the Welsh Consolidated Fund and are presented to the Public Accounts Committee to support its scrutiny of public expenditure.
- 7 **Exhibit 5** provides information on the NHS-related or relevant national studies published since our last Committee Update. It also includes all-Wales summaries of work undertaken locally in the NHS.

**Exhibit 5 – NHS-related or relevant studies and all-Wales summary reports**

Title	Publication Date
<u>Care Home Commissioning for Older People</u>	December 2021







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