



**GIG**  
CYMRU  
**NHS**  
WALES

Bwrdd Iechyd Prifysgol  
Bae Abertawe  
Swansea Bay University  
Health Board



<b>Meeting Date</b>	<b>19 January 2022</b>	<b>Agenda Item</b>	<b>2.3</b>	
<b>Report Title</b>	Board Effectiveness Assessment Action Plan			
<b>Report Authors</b>	Len Cozens, Head of Compliance			
<b>Report Sponsor</b>	Hazel Lloyd, Acting Director of Corporate Governance			
<b>Presented by</b>	Len Cozens, Head of Compliance			
<b>Freedom of Information</b>	Open			
<b>Purpose of the Report</b>	The purpose of this report is to provide an update on progress against the Board Effectiveness Assessment Action Plan			
<b>Key Issues</b>	<ul style="list-style-type: none"> <li>The board is required to undertake a self-assessment of its effectiveness in terms of governance and internal controls.</li> <li>The findings of the 2020/21 self-assessment were presented to the July 2021 meeting of the board. A proposed action plan was also presented to this meeting, which was agreed.</li> <li>This report presents an update on progress made against that action plan</li> <li>Currently there are seven areas where it has not been possible to complete actions within the originally agreed deadlines. Leads have provided revised deadlines/milestones for these actions as part of their progress updates</li> </ul>			
<b>Specific Action Required</b> <i>(please choose one only)</i>	<b>Information</b>	<b>Discussion</b>	<b>Assurance</b>	<b>Approval</b>
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Recommendations</b>	<p>Members are asked to:</p> <ul style="list-style-type: none"> <li><b>NOTE</b> progress made as detailed within the updated Board Effectiveness Assessment Action Plan included at Appendix 1</li> <li><b>AGREE</b> any specific areas where members feel that further assurance is required, in order that these can be taken forward with the relevant Lead.</li> </ul>			

# BOARD EFFECTIVENESS ASSESSMENT ACTION PLAN

## 1. INTRODUCTION

- 1.1 The purpose of this report is to provide an update on progress against the Board Effectiveness Action Plan

## 2. BACKGROUND AND CONTEXT

- 2.1 As part of the annual governance statement, the board is required to undertake an assessment of its effectiveness throughout the year in terms of governance and internal controls.
- 2.2 For 2020/21 the process was undertaken virtually due to the pandemic. A matrix was circulated in advance, and members were asked to discuss and vote on the board's current position for each criteria.
- 2.3 The findings of the process were presented to the July 2021 meeting of the health board, along with a comparison of progress against the findings of the previous survey. An action plan was also presented at this meeting, which was agreed by the board.

## 3. STATUS UPDATE

- 3.1 A copy of the action plan was circulated to all lead officers with a request that they provide updates on the actions assigned to them. Following review and feedback from the Chief Executive Officer, the Head of Compliance met with each lead officer in order to further review and update progress made.
- 3.2 The updated action plan was presented to and considered by the Executive Team on 8<sup>th</sup> December 2021. Following this meeting, further updates were received. A copy of the action plan has been included at **Appendix 1** for information.
- 3.3 There are currently seven areas where Leads report that it has not been possible to complete actions within the originally agreed timescales. Revised timescales/milestones have been provided as part of their progress updates, and these have been recorded in an additional 'Revised Timescale' column which has been incorporated into the plan.

## 4. FINANCIAL IMPLICATIONS

- 4.1 There are no direct financial implications arising from the recommendations in this report.

## 5. RECOMMENDATIONS

- 5.1 Members are asked to:
  - **NOTE** progress made as detailed within the updated Board Effectiveness Assessment Action Plan included at Appendix 1
  - **AGREE** any specific areas where members feel that further assurance is required, in order that these can be taken forward with the relevant Lead.

<b>Governance and Assurance</b>		
<b>Link to Enabling Objectives</b> <i>(please choose)</i>	<b>Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities</b>	
	Partnerships for Improving Health and Wellbeing	<input type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input type="checkbox"/>
	<b>Deliver better care through excellent health and care services achieving the outcomes that matter most to people</b>	
	Best Value Outcomes and High Quality Care	<input type="checkbox"/>
	Partnerships for Care	<input type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
<b>Health and Care Standards</b>		
<i>(please choose)</i>	Staying Healthy	<input type="checkbox"/>
	Safe Care	<input type="checkbox"/>
	Effective Care	<input type="checkbox"/>
	Dignified Care	<input type="checkbox"/>
	Timely Care	<input type="checkbox"/>
	Individual Care	<input type="checkbox"/>
	Staff and Resources	<input type="checkbox"/>
<b>Quality, Safety and Patient Experience</b>		
Ensuring the board carries out its business appropriately and aligned with standing orders is a key factor in the quality, safety and experience of patients receiving care.		
<b>Financial Implications</b>		
There are no direct financial implications arising from this paper		
<b>Legal Implications (including equality and diversity assessment)</b>		
There are no direct legal implications arising from this paper		
<b>Staffing Implications</b>		
There are no direct staffing implications arising from this paper		
<b>Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)</b>		
The development of the board will provide a robust and sustainable organisation to support the communities it services.		
<b>Report History</b>	Action Plan agreed by the board – July 2021 Updated Action Plan to Exec. Team – December 2021	
<b>Appendices</b>	Appendix 1: Board Effectiveness Assessment Action Pan	