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Bwrdd Iechyd Prifysgol
Abertawe Bro Morgannwg
University Health Board



Meeting Date	15th November 2018	Agenda Item	7c
Report Title	Capital Delegations		
Report Author	Liz Stauber, Committee Services Manager		
Report Sponsor	Pam Wenger, Director of Corporate Governance		
Presented by	Pam Wenger, Director of Corporate Governance		
Freedom of Information	Open		
Purpose of the Report	To seek approval of delegations for capital schemes.		
Key Issues	<p>The health board's capital programme is a key enabler for service development and delivery. It includes investment in physical assets such as land, buildings, equipment, vehicles and ICT across all healthcare settings.</p> <p>In order to sign-off schemes in-line with correct governance, a scheme of delegation had been developed, which also addresses a recommendation of the 2017 structured assessment by Wales Audit Office.</p>		
Specific Action Required <i>(please ✓ one only)</i>	Information	Discussion	Assurance
			Approval
Recommendations	<p>Members are asked to:</p> <ul style="list-style-type: none"> • NOTE the report; • APPROVE the capital delegations. 		

1. INTRODUCTION

To seek approval of the delegations for capital schemes.

2. BACKGROUND

The health board's capital programme is a key enabler for service development and delivery. It includes investment in physical assets such as land, buildings, equipment, vehicles and ICT across all healthcare settings. In order to sign-off schemes in-line with correct governance, a scheme of delegation had been developed.

3. GOVERNANCE AND RISK ISSUES

One of the key issues identified in the Wales Audit Office structured assessment 2017 was the requirement for clarity in terms of the delegations for capital schemes; this is attached at **appendix one** for consideration. It was considered and approved by the executive board at its meeting on 31st October 2018.

4. FINANCIAL IMPLICATIONS

There are no financial implications arising from this paper

5. RECOMMENDATION

Members are asked to:

- **NOTE** the report;
- **APPROVE** the delegations for capital schemes.

Governance and Assurance							
Link to corporate objectives (please ✓)	Promoting and enabling healthier communities		Delivering excellent patient outcomes, experience and access		Demonstrating value and sustainability		Securing a fully engaged skilled workforce
	✓		✓		✓		✓
Link to Health and Care Standards (please ✓)	Staying Healthy	Safe Care	Effective Care	Dignified Care	Timely Care	Individual Care	Staff and Resources
		✓	✓	✓	✓	✓	✓
Quality, Safety and Patient Experience							
There are no immediate implications. The capital plan should facilitate the delivery of safe and sustainable services and improve patient experience.							
Financial Implications							
There are no financial implications.							
Legal Implications (including equality and diversity assessment)							
There are no legal implications.							
Staffing Implications							
There are no staffing implications.							
Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015 - https://futuregenerations.wales/about-us/future-generations-act/)							
The development of strategic infrastructure, including building, major clinical equipment and ICT, should contribute to the delivery of safe and sustainable services over the longer term. In development the capital programme, there are clear opportunities to be exploited regarding cross public sector collaboration and integration to facilitate more seamless health and care services.							
Report History	The capital scheme of delegation was received and approved by the executive board in October 2018.						
Appendices	Appendix 1 – delegations for capital schemes						

CAPITAL SCHEMES FUNDED BY DISCRETIONARY ALLOCATION

1 Signature indicates sufficient understanding and confidence in the details of the business case to confirm responsibility for support for the proposal.

2 Individual contracts with a gross expenditure of more than £1m require Welsh Government approval – the threshold does not apply to schemes costing more than £1m if there are separate contracts with separate suppliers providing that each is below £1m

Discretionary Capital Programme Approvals		Gross Expenditure on Whole Project				
Approval/Sign Off by ¹ :	Initial Annual	New Approvals to be Signed-Off (in accordance with the approved Capital Programme)				
	Health Board approves the Annual Discretionary Capital Programme	Schemes under 75k	Schemes over £75k to £250k	Schemes over £250k to £500k	Schemes over £500k to £1m	Schemes over £1m ²
DELEGATION		Assistant Director of Strategy	Director of Strategy/ Director of Finance	Chief Executive	Health Board	Welsh Government

CAPITAL SCHEMES FUNDED BY WELSH GOVERNMENT

All capital submissions initially signed off by Investment and Benefits Group.
All capital submissions approved by Executive Board
Final approval required from Health Board at each stage of the Business Case process
Submissions to WG for approval

Note: Capital Submissions include; Strategic Outline Programmes (SOP), Strategic Outline Case (SOC), Outline Business Case (OBC), Full Business Case (FBC), funding bids not requiring a business (e.g. national imaging replacement programme); Bids for end of year funding