

Internal Audit Progress Report

Audit Committee

July 2022

Swansea Bay University Health Board

NWSSP Audit and Assurance Services



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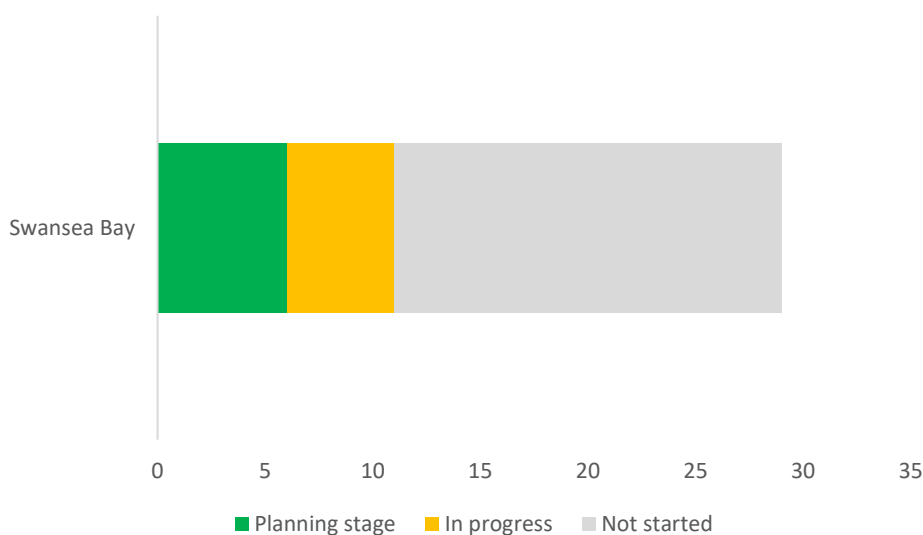
1. Introduction

The purpose of this report is to:

- highlight progress of the 2022/23 Internal Audit Plan to the Audit Committee; and
- provide an overview of other activity undertaken since the previous meeting.

2. Progress against the 2022/23 Internal Audit Plan

There are 30 reviews in the 2022/23 Internal Audit Plan, and overall progress is shown below.



Detailed progress in respect of each of the reviews in the 2022/23 Internal Audit Plan is summarised in Appendix A.

3. Completion of the 2021/22 Internal Audit Plan

Since the previous meeting, eight reports from the 2021/22 Internal Audit Plan have been finalised, included in the Head of Internal Audit Opinion, and will be presented at this meeting. This completes our work for 2021/22.

4. Engagement

The following meetings have been held/attended during the reporting period:

- Observation of Board and Committee meetings;
- Audit scoping and debrief meetings;
- Liaison with senior management; and
- Liaison with external regulators.

5. Recommendation

- The Audit Committee is invited to note the above.

Appendix A: Progress against 2022/23 Internal Audit Plan

Review	Status	Rating	Key matters arising	Anticipated Audit Committee ¹
Risk Management and Assurance	Not started			TBC
Service Group Governance Arrangements Deferred from 2021/22)	Not started			TBC
Quality and Safety Governance Framework	Not started			TBC
Freedom of Information Requests	Fieldwork in progress			September / November 2022
Claims Management	Fieldwork in progress			September / November 2022
Stakeholder Engagement and Communication	Fieldwork in progress			September / November 2022
Infection Prevention and Control	Not started			TBC
Covid-19 Cost Management: Response Funding and Deployment	Not started			TBC
Covid-19 Cost Management: Recovery Funding and Deployment	Not started			TBC
Rostering	Planning			TBC
Continuing Health Care	Not started			TBC
Recruitment and Retention of Staff	Not started			TBC
Electronic Staff Record: Manager Self Service - Advisory	Fieldwork in progress			September / November 2022

¹ May be subject to change

Review	Status	Rating	Key matters arising	Anticipated Audit Committee ¹
Access to Cancer Services	Not started			TBC
Transition from Child and Adolescent to Adult Mental Health Services	Not started			TBC
Health and Safety	Fieldwork in progress			September / November 2022
End of Life Care	Not started			TBC
Clinical Audit	Not started			TBC
Controlled Drugs	Planning			November 2022
Digital Strategy Implementation (Analytics) (Deferred from 2021/22)	Not started			TBC
Cyber Security	Planning			TBC
Records Management	Not started			TBC
Information Governance	Planning			TBC
Clinical Systems Implementation – Benefits Realisation	Planning			TBC
Follow Up Action Tracker	Not started			TBC
Primary and Community Care Infrastructure Projects - Swansea Wellness Centre	Not started			TBC
Decarbonisation	Not started			TBC
Follow up (Capital)	Not started			TBC
Follow up (Estates assurance)	Not started			TBC
Singleton Hospital - Cladding	Planning			TBC

Review	Status	Rating	Key matters arising	Anticipated Audit Committee ¹
Development of Integrated Audit Plans	N/A	N/A	We will provide on-going guidance and advice to the health board.	N/A

¹ May be subject to change