



**GIG**  
CYMRU  
**NHS**  
WALES

Bwrdd Iechyd Prifysgol  
Bae Abertawe  
Swansea Bay University  
Health Board



<b>Meeting Date</b>	<b>14 July 2022</b>	<b>Agenda Item</b>	<b>2.5</b>
<b>Report Title</b>	<b>Progress update for the Structured Assessment Management Response</b>		
<b>Report Author</b>	Liz Stauber, Head of Corporate Governance		
<b>Report Sponsor</b>	Hazel Lloyd, Acting Director of Corporate Governance		
<b>Presented by</b>	Hazel Lloyd, Acting Director of Corporate Governance		
<b>Freedom of Information</b>	Open		
<b>Purpose of the Report</b>	This report sets out on update on progress for the action plan following Audit Wales structured assessment review in 2021.		
<b>Key Issues</b>	The structured assessment report ( <i>appendix 1</i> ) examines the health board's arrangements that support good governance and the efficient, effective and economic use of resources. An action plan ( <i>appendix 2</i> ) was developed in response to the recommendations and significant progress has been made against this, with all actions completed. The brief for the 2022 structured assessment has now been received and this is at appendix three for information.		
<b>Specific Action Required</b> <i>(please choose one only)</i>	<b>Information</b>	<b>Discussion</b>	<b>Assurance</b>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input checked="" type="checkbox"/>
<b>Recommendations</b>	Members are asked to: <ul style="list-style-type: none"> <li>• <b>RECEIVE</b> and <b>NOTE</b> the progress against the action plan;</li> <li>• <b>AGREE</b> to close the actions marked as 'complete';</li> <li>• <b>NOTE</b> the brief for the structured assessment 2022 which includes field work in a yet to be determined operational service area</li> </ul>		

## PROGRES UPDATE FOR STRUCTURED ASSESSMENT ACTION PLAN

### 1. INTRODUCTION

This report sets out on update on progress for the action plan following Audit Wales structured assessment review in 2021.

### 2. BACKGROUND

The structured assessment report (*appendix 1*) examines the health board's arrangements that support good governance and the efficient, effective and economic use of resources.

The process included interviews with executive directors and independent members of the board, observations of board and committee meetings and a review of relevant documents, as well as performance and financial data.

The report sets out the findings under three themes:

- Governance arrangements;
- Approach to strategic planning; and
- Wider arrangements that support the efficient, effective and economical use of resources.

### 3. GOVERNANCE AND RISK ISSUES

The key findings of the reports comprise:

- The board continues to conduct business in an open and transparent way, but the health board's website needs to improve to enable easier access to content;
- The health board has maintained good governance arrangements, varying the frequency of board and committee meetings, whilst being sighted of pressure on senior staff;
- There is a need to reinstate the Health Professionals Forum;
- There is also scope to improve the quality of information provided to board and committees, reducing the volume whilst increasing the focus on actions;
- Opportunities to ensure rapid decision making have been maintained;
- Increased engagement with the service groups has been evident;
- Well-developed plans are in place for continuing the response to Covid-19 and to plan and reset services, whilst looking to provide longer-term sustainability;
- There has been positive engagement on service changes, partnerships are working well;
- Progress reports to Board on delivery of plans are good;
- Audit recommendations - lack of routine information in relation to individual recommendations limits scrutiny, number are overdue;

An action plan (appendix 2) was developed in response to the recommendations and significant progress has been made against this, with all actions completed.

The brief for the 2022 structured assessment has now been received and this is at appendix three for information. Its findings will enable the Auditor General to determine:

*Are the organisation's corporate arrangements supporting good governance and the efficient, effective, and economical use of resources?*

The key lines of enquiries are set out in the scope appended but there will also be a targeted piece of field work in a yet to be determined operational area to test how arrangements are in practice.

As there will be a separate review of workforce planning arrangements later in 2022 the structured assessment will focus on arrangements in place to support staff wellbeing.

#### **4. FINANCIAL IMPLICATIONS**

There are no direct financial implications arising from the recommendations in this report.

#### **5. RECOMMENDATIONS**

Members are asked to:

- **RECEIVE** and **NOTE** the progress against the action plan;
- **AGREE** to close the actions marked as 'complete';
- **NOTE** the brief for the structured assessment 2022 which includes field work in a yet to be determined operational service area.

Governance and Assurance		
Link to Enabling Objectives (please choose)	<b>Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities</b>	
	Partnerships for Improving Health and Wellbeing	<input checked="" type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input type="checkbox"/>
	<b>Deliver better care through excellent health and care services achieving the outcomes that matter most to people</b>	
	Best Value Outcomes and High Quality Care	<input checked="" type="checkbox"/>
	Partnerships for Care	<input type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
Health and Care Standards		
(please choose)	Staying Healthy	<input type="checkbox"/>
	Safe Care	<input checked="" type="checkbox"/>
	Effective Care	<input checked="" type="checkbox"/>
	Dignified Care	<input checked="" type="checkbox"/>
	Timely Care	<input checked="" type="checkbox"/>
	Individual Care	<input checked="" type="checkbox"/>
	Staff and Resources	<input checked="" type="checkbox"/>
Quality, Safety and Patient Experience		
Ensuring the Board and its Sub-Committee(s) makes fully informed decisions is dependent on the quality and accuracy of the information presented and considered by those making decisions. Informed decisions are more likely to impact favourably on the quality, safety and experience of patients and staff.		
Financial Implications		
There are no direct financial implications arising from this report.		
Legal Implications (including equality and diversity assessment)		
Ensuring the board carries out its business appropriately in accordance with the governance and legal frameworks as set down by Welsh Government.		
Staffing Implications		
No staffing implications arising from this report.		
Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)		
The Act requires the Health Board to think more about the long term, how we work better with people and communities and each other, look to prevent problems and take a more joined up approach with partners. There will be long term risks that will affect both the delivery of services, therefore, it is important that you use these five ways of working (Long Term Thinking, Prevention, Integration, Collaboration and Involvement) and the wellbeing goals identified in the Act in order to frame what risks the Health Board may be subject to in the short, medium and long term. This will enable The Health Board to take the necessary steps to ensure risks are well managed now and in the future.		
Report History	Annual report to the board	
Appendices	Appendix 1 - structured assessment 2021 Appendix 2 – management response to 2021 structured assessment Appendix 3 – scope for structured assessment 2022.	