



Bwrdd Iechyd Prifysgol  
Bae Abertawe  
Swansea Bay University  
Health Board



<b>Meeting Date</b>	<b>09 July 2020</b>	<b>Agenda Item</b>	<b>5.1</b>
<b>Report Title</b>	<b>Counter Fraud Work Plan 2020/21</b>		
<b>Report Author</b>	Len Cozens, Head of Local Counter Fraud Services		
<b>Report Sponsor</b>	Darren Griffiths, Director of Finance (interim)		
<b>Presented by</b>	Len Cozens – Head of Local Counter Fraud Services		
<b>Freedom of Information</b>	Open		
<b>Purpose of the Report</b>	The purpose of this report is to present the Draft Swansea Bay University Health Board Counter Fraud Work Plan for 2020/21 to the Audit Committee.		
<b>Key Issues</b>	The Fraud, Bribery and Corruption Standards for NHS Bodies (Wales), produced by the NHS Counter Fraud Authority, require the Head of Local Counter Fraud Services and Interim Director of Finance to agree a written work plan which outlines the Local Counter Fraud Specialist's projected duties for that year. This is the draft plan for 2020/21.		
<b>Specific Action Required (please choose one only)</b>	<b>Information</b>	<b>Discussion</b>	<b>Assurance</b>
	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Recommendations</b>	The Committee is asked to <b>Note</b> the Draft Counter Fraud Work Plan for 2020/21.		

## **Counter Fraud Work Plan 2020/21**

### **1. PURPOSE**

- 1.1. The purpose of this report is to present the Draft Swansea Bay University Health Board Counter Fraud Work Plan for 2020/21 to the Audit Committee.

### **2. BACKGROUND**

- 2.1 The NHS Counter Fraud Authority Fraud, Bribery and Corruption Standards for NHS Bodies (Wales) require the Head of Local Counter Fraud Services and Interim Director of Finance to agree a written work plan, which outlines the Local Counter Fraud Specialist's projected duties for that year.

### **3. DRAFT COUNTER FRAUD WORK PLAN 2020/21**

- 3.1 The format of the Draft Work Plan reflects the Key Principles detailed within the Fraud, Bribery and Corruption Standards for NHS Bodies (Wales), these being:

- Inform and Involve
- Prevent and Deter
- Hold to Account
- Strategic Governance

- 3.2 The content of the Draft Work Plan has been produced by the Head of Local Counter Fraud Services and agreed with the Director of Finance (interim), taking due account of the work required to ensure consistent and effective implementation and delivery of the Fraud, Bribery and Corruption Standards for NHS Bodies (Wales). It is designed to ensure a holistic approach to counter fraud work within the Health Board, with flexibility to allow high risk work to be undertaken urgently.

- 3.3 Provision has been made within the draft plan for proactive work to be undertaken in response to locally and nationally identified risk. The exact nature and detail of such work will be discussed and agreed between the Interim Director of Finance and newly appointed Head of Local Counter Fraud Services.

- 3.4 The level of resource to be utilised in the delivery of the plan is currently an estimated figure. This is due to the following:

- The Committee will be aware that the department has been carrying a Local Counter Fraud Specialist vacancy since November 2019. Whilst we have now successfully appointed to this post, the successful candidate is currently going through the pre-employment checking process and so has yet to receive her unconditional offer of employment.

- The department is currently out to advert for a Local Counter Fraud Officer position. As such, it is not possible to project a commencement date for this post at this time.

3.5 Noting the foregoing, it has been agreed that the resource levels will be revisited once the above staffing issues have been resolved, with the final plan being presented to a future meeting of the Audit Committee for final approval.

#### **4. REPORTING**

4.1 The Head of Local Counter Fraud Services will provide regular, detailed progress reports against the Work Plan and on-going investigative workload to the Director of Finance throughout the year.

4.2 Update reports will also be provided to the Audit Committee in line with its agreed work programme, culminating in an annual report at the end of the year.

#### **5. SERVICES PROVIDED TO OTHER HEALTH BODIES**

5.1 Swansea Bay UHB also provides a full-scope local counter fraud service to two other health bodies in Wales, under the terms of service level agreements. These are:

- Powys Teaching Health Board
- Cwm Taf Morgannwg University Health Board

5.2 Reporting and monitoring of the delivery of these services will be via each health body's respective Director of Finance and Audit Committee.

#### **6. RECOMMENDATION**

6.1 The Audit Committee is asked to:

- **Note** the content of the Draft Counter Fraud Work Plan for 2020/21
- Agree to receive and approve the final work plan, following the resolution of the current staffing issues within the department.

<b>Governance and Assurance</b>		
<b>Link to Enabling Objectives</b> <i>(please choose)</i>	<b>Supporting better health and wellbeing by actively promoting and empowering people to live well in resilient communities</b>	
	Partnerships for Improving Health and Wellbeing	<input type="checkbox"/>
	Co-Production and Health Literacy	<input type="checkbox"/>
	Digitally Enabled Health and Wellbeing	<input type="checkbox"/>
	<b>Deliver better care through excellent health and care services achieving the outcomes that matter most to people</b>	
	Best Value Outcomes and High Quality Care	<input checked="" type="checkbox"/>
	Partnerships for Care	<input type="checkbox"/>
	Excellent Staff	<input type="checkbox"/>
	Digitally Enabled Care	<input type="checkbox"/>
	Outstanding Research, Innovation, Education and Learning	<input type="checkbox"/>
<b>Health and Care Standards</b>		
<i>(please choose)</i>	Staying Healthy	<input type="checkbox"/>
	Safe Care	<input type="checkbox"/>
	Effective Care	<input type="checkbox"/>
	Dignified Care	<input type="checkbox"/>
	Timely Care	<input type="checkbox"/>
	Individual Care	<input type="checkbox"/>
	Staff and Resources	<input checked="" type="checkbox"/>
<b>Quality, Safety and Patient Experience</b>		
Ensuring that the Health Board has an effective counter fraud function, operating at part of a robust overall governance framework, is a key factor in the quality, safety and experience of patients receiving care.		
<b>Financial Implications</b>		
Long standing budget provision for counter fraud services within Swansea Bay UHB (and predecessor organisation(s)) is already in place.		
<b>Legal Implications (including equality and diversity assessment)</b>		
The counter fraud work detailed within this report will be delivered by an accredited and nominated Local Counter Fraud Specialist, in line with an agreed and appropriately resourced Counter Fraud Work Plan. This ensures that the Health Board remains compliant with the Directions to NHS Bodies on Counter Fraud Measures, and with the Fraud, Bribery and Corruption Standards for NHS Bodies (Wales).		
<b>Staffing Implications</b>		
The counter fraud work set out herein will be delivered within the context of existing resources.		
<b>Long Term Implications (including the impact of the Well-being of Future Generations (Wales) Act 2015)</b>		
No implications for the Committee to be aware of.		
<b>Report History</b>	Progress reports are submitted to the Audit Committee on a regular basis throughout the year.	
<b>Appendices</b>	Attached	

